

Instructor: Sarah Brierley

Class Time: Tuesday 4.30-6.30pm

Classroom: Seigle Hall 272

Office Hours: Friday 1.30-2.30pm or by appointment.

### **Aims of the Course:**

This course aims to introduce students to a range of topics in the field of Comparative Politics. The required readings present a mix of seminal articles and books, as well as cutting-edge research written by prominent contemporary scholars, and faculty within the department. Students should use the required readings as a springboard into the suggested readings and begin to explore the topics that interest them the most.

Some weeks a relevant faculty member will drop in the session to discuss one of their working papers or a recently published paper. The aim here is to introduce students to the faculty who work in comparative politics at Wash. U., as well as for you to get an insider look at the research and publication process.

### **Course Requirements:**

- Serve as a discussant *twice* during the semester. When you are the discussant, upload a memo that is about 3 pages in length to the course “Box” by 4pm the day before the class. On these weeks, you will be expected to lead the discussion in the class. (25 percent of overall grade).
- Sit a take-home eight-hour exam at the end of the semester. Questions will be emailed at 9am and you will have until 5pm to write three responses. There will be five questions and you will answer three. (75 percent of overall grade).

### **Advice:**

- Reading and digesting hundreds of pages of material a week is difficult. Before you begin the semester, get advice (there is a lot online) on how to read effectively and take good notes. Before you read, it usually makes sense to skim the book/chapters/article to get a sense of where the piece is going. You want to start to get good at extracting arguments and summarizing the evidence in support of the argument. After you finish each reading, write four or five sentences summarizing it. If you find that impossible, go back through the piece.
- Form a study group and meet for an hour or so the day before the class. You can use the group to discuss the work and ask questions in a non-judgmental environment. Not only will

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<sup>1</sup> In designing this syllabus, I consulted related syllabi from a number of colleagues: Lauren Young (Davis), Timothy Frye (Columbia), Ichino and Hicken (UMich), John McCauley (Maryland), Graeme Blair, Miriam Golden and Dan Treisman (UCLA). Attention was paid to the gender of the authors; of the required readings, 52 percent contain at least one female author.

this consolidate your learning, but it will cement what will become life-long friendships with your PhD cohort mates; the PhD process is lonely, the more friends you have the better!

- When you have questions, ask! If you don't understand something it is highly likely that one or more of your course mates is also confused.
- You should enjoy this class. The readings should stimulate your thoughts and get you excited about what *you* want to research. As you discover what interests you, email relevant faculty and ask to meet them during their office hours to discuss your ideas. Don't be afraid to go to office hours; it can be intimidating at first, but the faculty are there to support you and your research.
- As you start to develop your research agenda, make sure you are researching a topic that interests you, and not the faculty member who you want to advise you. The faculty have enough experience that they will be able to mentor you on a broad range of topics.

### **Faculty visits:**

A number of departmental faculty in the field of comparative politics will “drop in” our sessions as the semester progresses. Be sure to check out their profiles before the session, and feel free to bring questions to ask them.

Below is the schedule of when faculty will attend.

9/25	–	Brian Crisp
10/2	–	Guillermo Rosas
11/6	–	Sunita Parikh
11/13	–	Margit Tavits

## Schedule:

### 8/28: Comparative Research and Methodology

#### Required:

- King, Gary, Robert Keohane and Sidney Verba. 1994. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Princeton: Princeton University Press, 1994. **Ch. 1 and Ch 2 sections 2.2, 2.4 and 2.6)**
- Bunce, Valerie. "Comparative democratization: Big and bounded generalizations." *Comparative Political Studies* 33.6-7 (2000): 703-734.
- Dunning, Thad. *Natural experiments in the social sciences: a design-based approach*. Cambridge University Press, 2012, **ch. 1**.
- Monroe, Burt L, Jennifer Pan, Margaret E Roberts, Maya Sen, and Betsy Sinclair. 2015. "No! Formal Theory, Causal Inference, and Big Data Are Not Contradictory Trends in Political Science." *PS: Political Science and Politics* 48 (1): 71-74.

#### Recommended:

- John Gerring. 2004. "What is a Case Study and What Is It Good For?" *American Political Science Review* 98, 2.
- Gerber, Alan S. and Donald P. Green. *Field experiments: Design, analysis, and interpretation*. WW Norton, 2012, ch. 1.
- Geddes, Barbara. "How the cases you choose affect the answers you get: Selection bias in comparative politics." *Political Analysis*, 2, no. 1 (1990).
- Bennett, Andrew and Jerrey T. Checkel. *Process Tracing: From Metaphor to Analytic Tool*. Cambridge: Cambridge University Press, ch. 1.

### 9/4: Authoritarian Regimes

#### Required:

- Geddes, Barbara, Joseph Wright, Erica Franz. 2018. *How Dictatorships Work*. Cambridge University Press. **Ch.1 and Ch 5**.
- Svobik, Milan. 2012. *The Politics of Authoritarian Rule*. Cambridge University Press. **Ch. 1. And Ch. 4**
- Magaloni, Beatriz. 2006. *Voting for Autocracy. The Politics of Party Hegemony and its Demise*. Cambridge University Press, **Intro (pages 15-30) and Ch. 1**.

#### Recommended:

- Blaydes, Lisa. 2013. *Elections and Distributive Politics in Mubarak's Egypt*. New York: Cambridge University Press. Ch.1-6.
- Wintrobe, Robert. 2007. "Dictatorship: Analytical Approaches." In Carles Boix and Susan Stokes, eds., *Oxford Handbook of Comparative Politics*. New York: Oxford University Press, ch. 16.

- Boix, Carles and Milan W. Svoblik. 2013. The Foundations of Limited Authoritarian Government: Institutions, Commitment, and Power-Sharing in Dictatorships." *Journal of Politics*, 75(2): 300-316.
- Way, Lucan, and Steven Levitsky. 2002. The rise of competitive authoritarianism." *Journal of Democracy*, 13(2).
- Gandhi, Jennifer, and Adam Przeworski. 2007. Authoritarian institutions and the survival of autocrats." *Comparative Political Studies* 40(11).

## 9/11: Democratization

### Required:

- Geddes, Barbara. "What do we know about democratization after twenty years?" *Annual review of political science* 2.1 (1999): 115-144.
- Acemoglu, Daron, and James A. Robinson. 2006. *Economic Origins of Dictatorship and Democracy* Cambridge: Cambridge University Press, **Ch. 2 and Ch. 6**.
- Albertus, Michael, and Victor Menaldo. *Authoritarianism and the elite origins of democracy*. Cambridge University Press, 2018. **Ch 1 (p. 1-10) and Ch. 4**

### Recommended:

- Boix, Carles. *Redistribution and Democracy*. Cambridge University Press, (1-131, 233-240.)
- Haggard, Stephan and Robert Kaufman. 2012. "Inequality and Regime Change: Democratic Transitions and the Stability of Democratic Rule." *American Political Science Review*. 106:3 495- 516.
- Geddes, Barbara, 2007. "What Causes Democratization?" In eds. Carles Boix and Susan C. Stokes. *The Oxford Handbook of Comparative Politics*. 317-339.
- Bunce, Valerie J., and Sharon L. Wolchik. "Defeating dictators: Electoral change and stability in competitive authoritarian regimes." *World Politics* 62.1 (2010): 43-86.
- Woodberry Robert. 2012. "The Missionary Roots of Liberal Democracy." *American Political Science Review* 106:2, 244-274.
- Hariri, Jacob Gerner 2012. August. "The Autocratic Legacy of Early Statehood." *American Political Science Review*. 106:3 471-494.
- Moore, Barrington. *Social Origins of Dictatorship and Democracy*. Beacon Press. 1966.
- Greene, Kenneth F. *Why dominant parties lose: Mexico's democratization in comparative perspective*. Cambridge University Press, 2007.
- Bates, Robert H. and Lien, Da-Hsiang Donald. "A Note on Taxation, Development, and Representative Government," *Politics & Society*, 1987.
- Przeworski, Adam. 2009. "Self-Government in Our Times," *Annual Review of Political Science*, 12: 71.
- Boix, Carles and Stokes, Susan. "Endogenous Democratization," *World Politics*, 55(4): July 2003, 517.

## 9/18: Electoral Systems

### Required:

- Gary Cox. 1997. *Making Votes Count: Strategic Coordination in the World's Electoral Systems*. New York: Cambridge University Press. **Ch. 2, Ch.3, Ch.4**
- Carey, John M., and Matthew Soberg Shugart. "Incentives to cultivate a personal vote: A rank ordering of electoral formulas." *Electoral studies* 14.4 (1995): 417-439.
- Stratmann, Thomas, and Martin Baur. "Plurality rule, proportional representation, and the German Bundestag: How incentives to pork-barrel differ across electoral systems." *American Journal of Political Science* (2002): 506-514.
- Shugart, Matthew Søberg, Melody Ellis Valdini, and Kati Suominen. "Looking for locals: voter information demands and personal vote-earning attributes of legislators under proportional representation." *American Journal of Political Science* 49.2 (2005): 437-449.

### Recommended:

- Carey, John and Matthew Shugart. *Presidents and Assemblies: Constitutional Design and Electoral Dynamics*. Cambridge University Press 1995.
- Golden, Miriam A. "Electoral connections: the effects of the personal vote on political patronage, bureaucracy and legislation in postwar Italy." *British Journal of Political Science* 33.2 (2003): 189-212.
- Samuels, David J. "Pork barreling is not credit claiming or advertising: Campaign finance and the sources of the personal vote in Brazil." *The journal of Politics* 64.3 (2002): 845-863.

## 9/25: Political Parties and Legislative Politics

Brian

- Gary Cox and Matthew McCubbins. 2007. Second edition. *Legislative Leviathan: Party Government in the House*. **Ch. 5.**
- Crisp, Brian F., Maria C. Escobar-Lemmon, Bradford S. Jones, Mark P. Jones, and Michelle M. Taylor-Robinson. "Vote-seeking incentives and legislative representation in six presidential democracies." *The Journal of Politics* 66, no. 3 (2004): 823-846.
- O'Brien, Diana Z. "Rising to the top: Gender, political performance, and party leadership in parliamentary democracies." *American Journal of Political Science* 59.4 (2015): 1022-1039.
- Working paper by Brian Crisp (TBD).

### Suggested readings:

- John Aldrich. 1995. *Why Parties? The Origins and Transformation of Political Parties in America*. Chicago: University of Chicago Press. 1995. **Ch. 1 and Ch. 2**

## 10/2: Political Parties and Elections in Developing Democracies

Guillermo

- Thachil, Tariq. Elite Parties and Poor Voters: Theory and Evidence from India. 2014. *American Political Science Review*, 108: 454-477.
- Riedl, Rachel Beatty. *Authoritarian origins of democratic party systems in Africa*. Cambridge University Press, 2014. **Ch. 4 and Ch. 5**
- Baldwin, Katherine. 2013. "Why Vote with the Chief? Political Connections and the Performance of Representatives in Zambia." *AJPS* 57(4): pp. 794-809. [15 pp.]
- Guillermo Rosas and Adrian Lucardi, "Jumping Ship or Jumping on the Bandwagon: When Do Local Politicians Support National Candidates?", Working paper.

## 10/9: Clientelism

Required:

- Wantchekon, Leonard. "Clientelism and voting behavior: Evidence from a field experiment in Benin." *World politics* 55.3 (2003): 399-422.
- Stokes, Susan. 2005. "Perverse Accountability: A Formal Model of Machine Politics with Evidence from Argentina." *APSR* 99(3): pp. 315-325.
- Nichter, Simeon. 2008. "Vote buying or turnout buying? Machine politics and the secret ballot." *APSR* 102(1): pp. 19-31.
- Nichter, Simeon, and Michael Peress. "Request fulfilling: When citizens demand clientelist benefits." *Comparative Political Studies* 50.8 (2017): 1086-1117.
- Brierley, Sarah and Noah Nathan "Motivating the Machine: The Selection and Payment of Party Brokers", Working paper.

Recommended:

- Gottlieb, Jessica. 2017. "Explaining Variation in Broker Strategies: A Lab-in-the-Field Experiment in Senegal." *CPS* 50(11): pp. 1556-1592.
- Auerbach, Adam Michael and Tariq Thachil *How Clients Select Brokers: Competition and Choice in India's Slums*, Forthcoming *APSR*
- Horacio A. Larreguy, John Marshall, and Pablo Querubin. Parties, Brokers, and Voter Mobilization: How Turnout Buying Depends Upon the Party's Capacity to Monitor Brokers. *American Political Science Review*, 110(1):160-179, February 2016.
- Edwin Camp. "Cultivating Effective Brokers: A Party Leader's Dilemma." *British Journal of Political Science* 47(3): 521-543.
- Kramon, Eric. 2016. "Electoral Handouts as Information: Explaining Unmonitored Vote Buying." *World Politics*, 68(3): pp. 454-498.
- *Stokes et al (Chapter 3 and 4) from Brokers, Voters and Clientelism*
- Brokers Beyond Clientelism: A New Perspective Through the Argentine Case (Rodrigo Zarazaga, S.J.)

## 10/16 Fall Break

## 10/23: Bureaucracy

- Putnam, Robert D., Robert Leonardi, and Raffaella Y. Nanetti. *Making democracy work: Civic traditions in modern Italy*. Princeton university press, 1994. **Ch 3. And Ch. 4.**
- Huber, John and Shipan, Charles R. (2002) *Deliberate Discretion*. Cambridge University Press. **Conclusion of Ch. 2., and Ch. 4.**
- Grzymala-Busse, Anna. *Rebuilding Leviathan: Party Competition and State Exploitation in Post-Communist Democracies*, (Cambridge University Press, 2007) **Ch. 2**
- Grindle, Merilee S. 2012. *Jobs for the Boys: Patronage and the State in Comparative Perspective*. Harvard University Press. **Ch. 4.**
- Gulzar, Saad, and Benjamin J. Pasquale. "Politicians, bureaucrats, and development: Evidence from India." *American Political Science Review* 111.1 (2017): 162-183.

### Recommended:

- Jeffrey Herbst. 2000. *States and Power in Africa: Comparative Lessons in Authority and Control*. Princeton University Press. TBA.
- Rueschemeyer and T. Skocpol, eds., *Bringing the State Back In*. New York: Cambridge University Press, ch. 5.
- O'Dwyer, Conor. *Runaway State-Building: How Political Parties Shape States in Postcommunist Europe* *World Politics* (2004) July, pp. 520-534.
- Weber, Max. 1978. *Economy and society: An outline of interpretive sociology*. University of California Press.
- Skowronek, Stephen. *Building a New American State: The Expansion of National Administrative Capacities, 1877-1920*. Cambridge University Press, 1982. (Chapters 3 and 6)
- Geddes, Barbara. 1994. *Politician's dilemma: building state capacity in Latin America*. University of California Press. Chapters 1 and 2.
- Oliveros, Virginia. *Clientelism, Favors, and the Personalization of Public Administration in Argentina*. *Comparative Politics*, 2016.

## 10/30: Corruption

### Required:

- Fisman, Raymond and Miriam Golden. 2017. *Corruption: What Everyone Needs to Know*. Oxford University Press. **Chs. 2, 5, 7 and 8**
- Olken, Benjamin A., and Rohini Pande. "Corruption in developing countries." *Annu. Rev. Econ.* 4, no. 1 (2012): 479-509.
- Treisman, Daniel. 2007. "What Have We Learned About the Causes of Corruption from Ten Years of Cross-National Empirical Research?" *Annual Review of Political Science* 10: 211-44.
- Weitz-Shapiro, R., and Matthew S. Winters. "Lacking information or condoning corruption: when will voters support corrupt politicians?" *Journal of Comparative Politics* (2013).

Recommended:

- Shleifer, Andrei and Robert Vishny. 1993. "Corruption." *Quarterly Journal of Economics* 108(3): 599-617.
- Claudio Ferraz and Fred Finan. (2008). "Exposing Corrupt Politicians: The Effects of Brazil's Publicly Released Audits on Electoral Outcomes", *QJE*, 123(2), 703-745. J.
- Tavits, Margit. "Clarity of responsibility and corruption." *American journal of political science* 51.1 (2007): 218-229.
- De Vries, Catherine and Hector Solaz. 2017. "The Electoral Consequences of Corruption," *Annual Review of Political Science* 20: 391-408.
- Gingerich, Daniel W. *Political institutions and party-directed corruption in South America: Stealing for the team*. Cambridge University Press, 2013.
- Rose-Ackerman, Susan, and Bonnie J. Palifka. *Corruption and government: Causes, consequences, and reform*. Cambridge university press, 2016.

**11/6: Identity and Co-operation**

Sunita

- Chandra, Kanchan. 2006. "Counting heads." In *Patrons, Clients and Policies: Patterns of Democratic Accountability and Political Competition*, eds. Kitschelt, Herbert, and Steven I. Wilkinson. [26pp]
- Habyarimana, James, Macartan Humphreys, Daniel Posner, and Jeremy Weinstein. 2007. "Why Does Ethnic Diversity Undermine Public Goods Provision? An Experimental Approach." *APSR* 101(4): pp709-725. [17pp]
- Ferree, Karen E. "Explaining South Africa's racial census." *Journal of Politics* 68.4 (2006): 803-815.
- Book chapter by Sunita Parikh (TBD).

Suggested reading:

- Chandra, Kanchan. 2006. "What is ethnic identity and does it matter?" *Annu. Rev. Polit. Sci.*,9, pp. 397{424.
- Anderson, Benedict. *Imagined communities: Reections on the origin and spread of nationalism*. Verso Books, 2006. 1{65.
- Bates, Robert. 1973. *Modernization, Ethnic Competition and the Rationality of Politics in Contemporary Africa*." In Donald S. Rothchild and Victor A. Olorunsola, eds. *State versus Ethnic Claims: African Policy Dilemmas*. Boulder, CO: Westview Press: 152{71.
- Fearon, James D., and David D. Laitin. "Explaining interethnic cooperation." *American political science review* 90, no. 4 (1996): 715{35.



## 11/13: Immigration

Margit

Required:

- Dancygier, Rafaela. 2010. *Immigration and conflict in Europe*. **Ch. 2 and Ch. 3.**
- Hainmueller, Jens, and Dominik Hangartner. "Who gets a Swiss passport? A natural experiment in immigrant discrimination." *American political science review* 107, no. 1 (2013): 159-187
- Golder, Matt. "Far right parties in Europe." *Annual Review of Political Science* 19 (2016): 477-497.
- Homola, Jonathan, and Margit Tavits. "Contact Reduces Immigration-Related Fears for Leftist but Not for Rightist Voters." *Comparative Political Studies* (2017)

Recommended:

- Hainmueller, Jens, and Daniel J. Hopkins. "Public attitudes toward immigration." *Annual Review of Political Science* 17 (2014): 225-249.
- Malhotra, Neil, Yotam Margalit, and Cecilia Hyunjung Mo. "Economic explanations for opposition to immigration: Distinguishing between prevalence and conditional impact." *American Journal of Political Science* 57, no. 2 (2013): 391-410.
- Dancygier, Rafaela M., Karl-Oskar Lindgren, Sven Oskarsson, and Kåre Vernby. "Why are immigrants underrepresented in politics? Evidence from Sweden." *American Political Science Review* 109, no. 4 (2015): 703-724.

## 11/20 Thanksgiving Week

### 11/27: Political Violence

Required:

- Kalyvas, Stathis N. *The logic of violence in civil war*. (2006), **chs. 5 and 6.**
- Fearon, James D. and David D. Laitin. 2003. "Ethnicity, Insurgency, and Civil War." *American Political Science Review*, 97(1): 75–90
- Humphreys, Macartan, and Jeremy Weinstein. 2008. "Who fights? The determinants of participation in civil war." *AJPS* 52(2): pp. 436-455. [20pp]
- Dube, Oeindrila, and Juan Vargas. 2012. "Commodity Price Shocks and Civil Conflict: Evidence from Colombia" *Review of Economic Studies*, 80(4): pp. 1384–1421. [38pp]

*Suggested*

- Wood, Elizabeth. *Insurgent Collective Action and Civil War in El Salvador* (Cambridge University Press, 2003). (Chapter 2 reprinted in *Political Ethnography: What Immersion Contributes to the Study of Power*, Edward Schartz, ed., University of Chicago Press (2009))

- Wilkinson, Steven I. 2004. *Votes and Violence: Electoral Competition and Ethnic Riots in India*. Chapters 1-2.
- Weinstein, Jeremy M. (2007) *Inside Rebellion: The Politics of Insurgent Violence*.
- Chenoweth Erika and Maria Stephan. 2008. "Why Civil Resistance Works: The Strategic Logic of Nonviolence." *International Security* 33(1): 7–44.
- Davenport, Christian. State repression and political order." *Annual. Rev. Polit. Sci.*, 10 (2007): 1 {23}.
- Marten, Kimberly. Warlordism in Comparative Perspective," *International Security* 31, no. 3. (Winter 2006/7): 41 {73}.
- Yanagizawa-Drott, David. 2014. "Propaganda and conflict: Evidence from the Rwandan genocide." *QJE*, 129(4): pp. 1947-1994.

## 12/4: Field Research in Practice

### Required:

- Kapiszewski, Diana, Lauren M. MacLean, and Benjamin L. Read. *Field research in political science: practices and principles*. Cambridge University Press, 2015. **Ch. 3, and Ch.5 (p205-234))**.
- Jeremy Weinstein. 2007. *Inside Rebellion: The Politics of Insurgent Violence*. **Intro. and Appendix A**.
- McDermott, Rose. 2013. *The Ten Commandments of Experiments*. *PS: Political Science & Politics* 46, 3: 605-610.
- Bayart de Volo, Lorraine and Edward Schatz. 2004. *From the Inside Out: Ethnographic Methods in Political Research*. *PS: Political Science & Politics* 37, 2: 267-271.

### Suggested:

- Joel Aberbach and Bert Rockman. 2002. "Conducting and Coding Elite Interviews." *PS: Political Science and Politics* 35.
- Adler, Patricia and Peter Adler. 2000. "Observational Techniques." In Denzin and Lincoln, eds., *Handbook of Qualitative Research*, 377-392.
- Van Vaerenbergh, Yves and Troy D. Thomas. 2013. "Response Styles in Survey Research: A Literature Review of Antecedents, Consequences, and Remedies." *International Journal of Public Opinion Research* 25: 195-217.
- Grossman, Guy, and Delia Baldassarri. "The impact of elections on cooperation: Evidence from a lab-in-the-field experiment in Uganda." *American journal of political science* 56.4 (2012): 964-985.